

Check before you invest

Generally, anyone selling securities, offering investment advice or acting as a fund manager in Canada **must register** with a securities regulator.

Three checks to protect yourself from investment fraud:

1. Check registration

Check the registration of any individual or business trying to sell you an investment or give you advice. Visit CheckBeforeYouInvest.ca.

2. Check their category

Not all types of registration are the same – firms and individuals can be registered to provide a range of products and services.

3. Check disciplinary history

Find out if an individual or firm has ever broken regulatory rules in the past.

Contact the Ontario Securities Commission to:

1. Check registration
2. Report a person or firm is not registered
3. Inform if an individual or firm is offering you something that is not permitted by their registration category

Email: inquiries@osc.gov.on.ca

Tel: 1-877-785-1555

Learn more at GetSmarterAboutMoney.ca

Ontario Securities Commission

20 Queen St. W., 22nd Floor, Toronto, Ontario M5H 3S8

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